



Appalachian
Regional
Commission

Request for Proposals
for the
Appalachian Collegiate Research Initiative

Questions concerning this RFP must be received by email to ACRI@arc.gov no later than 12:00 p.m. ET on **March 10, 2023**. ARC reserves the right not to answer questions received after that time.

Proposals are due on or before 5:00 p.m. ET
March 31, 2023

ACRI@arc.gov

Summary

The Appalachian Regional Commission (“ARC”) is seeking proposals from colleges and universities (“Institutions”) in and near the [Appalachian Region](#) to participate in the [Appalachian Collegiate Research Initiative](#) (“ACRI”). As part of the ACRI, each participating Institution offers at least one for-credit course during the 2023 Fall Semester in which students address the question, “How do we support community economic development to help build a sustainable future and close the socioeconomic gap between Appalachia and the rest of the nation?”

Administered by East Tennessee State University Center of Excellence for Appalachian Studies and Services, the ACRI engages students from up to 16 colleges and universities in place-based learning experiences in which they practice community economic development firsthand. Following completion of their community-based research project, the ACRI provides a forum in which students share their work with their peers, with ARC administration and staff, and with invited guests, including community partners and university administrators.

Through this model, the ACRI directly invests in one of Appalachia’s most precious resources: its future leaders. By promoting student engagement with Appalachian communities, fostering leadership development, and bringing students, faculty, and leaders together to share their ideas, this project directly supports ARC’s mission to “Innovate, partner, and invest to build community capacity and strengthen economic growth in Appalachia.”

Scope of Work

Each Institution must work with a local community partner(s) within the Appalachian Region to identify an applied research project that addresses critical challenges and/or opportunities that affect that community’s economic development. The faculty director will then develop a community-based student project that addresses the community challenge and/or opportunity and is designed to help the community build a sustainable future.

Additionally, the faculty director will work with the local community partner(s) to identify an investment need related to the applied research project that may be addressed with up to \$2,000 from the subaward.

In addition to providing an invaluable experience for the students, the ACRI also gives them – as well as the community partners they’re working with – firsthand knowledge of ARC and its work in the Region. Because the intent of the Appalachian Collegiate Research Initiative is to forge partnerships between the colleges and their communities, as well as to get students out into those communities, community partners cannot be part of the college or university.

While we will accept multiple proposals from an Institution, no more than one proposal from an Institution will be selected for participation. We encourage interested faculty to work with others at their Institution to develop their proposal. Interested faculty may also collaborate with other Institutions to jointly develop a project with a community partner(s). If Institutions are working together, they must apply together. There is not an increased budget for the subaward of multiple Institutions that are applying together.

Proposed projects must meet the guidelines, goals, and outcomes identified below, providing concrete deliverables. **Projects must go beyond collecting folklore, ethnographic fieldwork, and volunteer or service-learning activities; they must exhibit demonstrable impact on the capacity of the students, the Institution, the community partner, and/or the community to promote economic development and sustainability.**

Students and faculty will convene in Washington, D.C. on December 1-2, 2023, to share their community-based projects. The ACRI Symposium will consist of the following activities: a 15-minute formal presentation of project outcomes by the students from each participating Institution; opportunities for discussion and questions; participation in poster sessions; open time for students and faculty to visit the offices of governmental representatives; and a planning meeting of faculty directors and ARC officials to discuss the project, its outcomes, and future strategies. If public health guidance does not allow the Symposium to take place in person, it will be held virtually.

Following the Symposium, each participating institution will submit a final report on the project outcomes by May 31, 2024. Final reports must document how the project met all required guidelines, goals, and outcomes stated in the proposal and Scope of Work.

Project Goals

The ACRI is designed to accomplish the following goals in support of the ARC mission and strategic plan.

1. To promote student research projects that focus on Appalachian communities, preferably in one or more of the [ARC-designated Distressed or At-Risk counties](#). These projects must involve direct, active participation and engagement with the community. For continuity of projects and relationships, some institutions will work with the same community for more than one year. Occasionally, some communities may be selected because they constitute distressed populations within counties that are not formally labeled as distressed or at-risk.
2. To provide opportunities for students, most of whom are Appalachian natives and/or residents, to experience various parts of the Region and the work of the ARC.

3. To provide opportunities for students to develop leadership, civic capacity, and communication skills.
4. To increase student and community awareness of the mission and work of the ARC and the goals of its strategic plan.
5. To promote projects that address one or more of the goals of ARC strategic plan. ARC also sets forth specific objectives that support each of the goals, which may be found in the ARC Strategic Plan. While all ACRI proposals will support Goal 5, ARC encourages projects that also specifically address Goals 1-4, including the following issues: substance use disorder recovery, business development and entrepreneurship, broadband and technology, and workforce development.
 - **Goal 1: Building Appalachian Businesses.**
Strengthen and diversify the Region’s economy through inclusive economic development strategies and investments in entrepreneurship and business development.
 - **Goal 2: Building Appalachia’s Workforce Ecosystem**
Expand and strengthen community systems (education, healthcare, housing, childcare, and others) that help Appalachians obtain a job, stay on the job, and advance along a financially sustaining career pathway.
 - **Goal 3: Building Appalachia’s Infrastructure**
Ensure that the residents and businesses of Appalachia have access to reliable and affordable utilities and infrastructure in order to successfully live and work in the Region.
 - **Goal 4: Building Regional Culture and Tourism**
Strengthen Appalachia’s community and economic development potential by preserving and investing in the Region’s local, cultural heritage, and natural assets.
 - **Goal 5: Building Community Leaders and Capacity**
Invest in the capacity of local leaders, organizations, and communities to address local challenges by providing technical assistance and support to access resources, engage partners, identify strategies and tactics, and conduct effective planning and project execution.
6. To provide opportunities for students to share their work, develop leadership skills, and build professional networks through a presentation to civic groups, elected officials, and/or at a regional or national conference.

Project Outcomes

Projects are expected to have a demonstrable impact on community economic development and sustainability, and capacity building through development of student leadership and active partnerships. Therefore, each project should address the question, “What changes do you expect to occur in the community as a result of this project?” Final reports from each institution should document evidence of the

project's impact, through quantitative and/or qualitative data. Such evidence might include, for example:

- Development or growth of active partnerships between communities and institutions of higher education in the region.
- Feedback from community partners, community leaders, or other community members.
- Feedback from student participants.
- Development of plans, priorities, or recommendations that the community may utilize in promoting sustainable development.
- Community projects, initiatives, events, or other actions and deliverables that are the direct or indirect results of the students' work, or those that are significantly impacted by the students' work.

Learning Outcomes

Each Institution must offer a for-credit academic course in the 2023 Fall Semester that includes an approved community-based project. Each course must support the broad learning objectives of the ACRI, which are to help students to (a) develop leadership, communication, and civics skills; (b) gain firsthand experience in asset-based community development; and (c) increase student and community awareness of the mission and work of ARC and the goals of its strategic plan. Though each course will be different, depending on its academic discipline and the community challenge it addresses, each course must include the following core requirements:

- Students will engage in fieldwork with at least one community partner during the semester (some institutions may choose to work with multiple partners). The project cannot be strictly classroom-based.
- Students will create a poster summarizing their project and present it at the annual ACRI Symposium.
- Students will make a formal oral presentation on their project, accompanied by a PowerPoint presentation, at the annual ACRI Symposium. All students who attend the conference must participate in the oral presentation.
- Students will make a final oral presentation on their project to their community partner(s).
- In addition to the presentation to the community partner(s), students must participate in at least one of the following presentation options:
 - Make a presentation to at least one additional civic organization or to elected officials within the community (this presentation can take place at the same time as the final presentation to the community partner described above).
 - Participate in a poster session or panel discussion, or present a complete session at the Appalachian Studies Association's Annual Conference in spring 2024.
 - Make a presentation on the project at another relevant regional or national conference.

Subaward Budget Information

Institutions selected to participate will receive a subaward of a maximum of \$8,000. There is no requirement for institutional match for this subaward. However, if your budget exceeds \$8,000, the additional funds would need to be made up by the institution.

Subaward agreements for selected schools will be administered by ETSU.

Expenses Allowed

- Travel to community partner events/meetings
- Supplies (such as creation of the poster) not to exceed \$200 without prior authorization from ETSU
- Travel to the ACRI Symposium in Washington, DC, including:
 - Mileage/Airfare
 - Hotel Rooms
 - Hotel Parking
 - Per Diem to cover meals not provided at the conference
 - Metro Passes
 - Admission fees for approved group events (plays, exhibits, etc.)
- Travel to other conferences where the students will present ACRI research/posters
- \$2,000 Community Partner Investment (If your community investment is less than \$2,000, your total subaward will be reduced by that amount)

Expenses Disallowed

- Salaries (faculty, support staff, student workers, etc.)
- Student travel to conferences where students are NOT presenting ACRI research/posters
- Equipment, computers, etc. for students/institutions
- Food (receptions, open houses, etc.) that is not related to travel

Community Partner Investment

The \$2,000 Community Partner Investment must relate to the research project and must address a clearly defined community need.

- Examples of things funds can be used for:
 - Equipment
 - Supplies
 - Physical Assets (e.g., park benches, playground equipment)
 - Technical Assistance

- Examples of things funds cannot be used for:
 - Honoraria
 - Travel
 - Operational Expenses

The faculty director will work with the local community partner(s) to identify an investment need related to the applied research project that may be addressed with up to \$2,000 from the subaward. If you have questions regarding the Community Partner Investment requirement, please contact ACRI@arc.gov.

Request for Proposals

The proposal should provide the following information:

Project Summary and Details

The proposal should summarize the institution's plan to work with a local community partner(s) and the community-based student project that addresses the community problem. It should also include:

- How the proposal aligns with Project Goals and [ARC Investment Strategies](#);
- Community Partner Investment
- Project Outcomes, including projected positive impact on the community
- Learning Outcomes

Course Syllabus

The proposal should include a draft of the course syllabus (or syllabi if multiple courses are part of the proposal) that addresses how the class would approach the project and meet the stated objectives.

Letter(s) of Support

The proposal should include letters of support from community partners that will be participating in the project.

Budget

Institutions selected to participate will receive a subaward of a maximum of \$8,000. Proposals should include a brief itemized budget describing how the institution would plan to use the subaward to fund the project.

Curriculum Vitae

Curriculum vitae of participating faculty should be included in the proposal.

Proposal Format

ARC desires to minimize submission of superfluous RFP material. Therefore, responding Institutions are instructed to organize their responses according to the following format:

- [Please use this template for your proposals.](#)
- The proposal narrative should not exceed 15 typewritten pages, not including CVs, tabbed by category. Font must be Times New Roman and can be no smaller than 10 typeset. Two to three (2-3) pages per section as follows:
 - Project Summary
 - Course Syllabus
 - Letter(s) of Support
 - Budget
 - Curriculum Vitae
- Pages should be numbered consecutively and identify the Institution on each.

Submission Procedures

The deadline for submissions in response to this RFP is 5:00 p.m. ET, March 31, 2023.

Please submit a PDF copy of your response to ACRI@arc.gov.

- Submissions MUST be sent via email;
- Responses or unsolicited amendments will not be accepted after the closing date and time;
- Requests for time extensions will not be considered.

Review and Evaluation Process

The Appalachian Collegiate Research Initiative team will review and evaluate the responses based on the following criteria:

- Application contains the requested information;
- The Institution's commitment to the Appalachian Region;
- The community partner(s) location within the ARC 13-state region;
- Demonstrated need and underlying rationale for the proposed project and its alignment to the ARC Investment Strategies;
- The alignment of the proposed project with the ACRI Scope of Work; and
- The Institution's connection to local economic development and ARC mission.

After review, ARC will select up to 16 institutions to participate in the Appalachian Collegiate Research Initiative. ARC plans to notify schools of their proposal status by April 21, 2023.